

Form 1—Exploratory Form

General Notes

1. This form should be completed for the enterprise named on page one and any of its subsidiaries in Newland. If there are any errors in the address label, please make corrections before returning the form.
2. A **nonresident** is an individual, enterprise, or other organization ordinarily domiciled in a country other than Newland. Newland subsidiaries of nonresident companies are regarded as **residents** of Newland. Similarly, subsidiaries of Newland companies are regarded as nonresidents.
3. All values are expressed in Newland dollars.

Part A. Introductory Questions

1. Is this enterprise a subsidiary¹ of another enterprise in Newland? Yes [] No []

If the answer is *yes*, go to question 13 and do not answer intervening questions.

2. Does this enterprise have subsidiary companies in Newland? Yes [] No []

If the answer is *yes*, the following questions should be completed in respect of the enterprise and its subsidiaries in Newland.

Part B. Nonresident Owners

3. Did this enterprise have **nonresident owners** as of 31 December 2011? Yes [] No []
(An enterprise has nonresident owners if it is a branch or subsidiary of a nonresident company or has nonresident shareholders.)

If the answer is *no*, go to question 4.

- 3A. Is this enterprise a subsidiary of a foreign company? Yes [] No []

- 3B. Does a single nonresident shareholder (or a group of related nonresident shareholders) hold between 10 and 50 percent of the voting equity in this enterprise or any of its subsidiaries? Yes [] No []

If the answer to question 3A or 3B is *yes*, please list the names of the owners, their equity interest, and the name of the enterprise in which the equity is held.
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4. Did any resident company that is a subsidiary or branch of a nonresident enterprise own between 10 and 50 percent of the voting equity in this enterprise or its subsidiaries as of 31 December 2011? Yes [] No []

If the answer is *yes*, please give details.
.....

¹ A subsidiary is an enterprise over which its owner can exercise control, i.e. it owns more than 50 percent of the voting securities.

Part C. Ownership of Nonresident Branches and Companies

5. Did this enterprise or its subsidiaries have any nonresident subsidiaries or own 10 percent or more of voting equity in a nonresident enterprise as of 31 December 2011? Yes No

Part D. International Trade in Goods in 2011

6. Did this enterprise or its subsidiaries export goods to or import goods from, abroad during 2011? Yes No

If the answer is *no*, go to question 7.

- 6A. Please record the approximate value of goods exported and goods imported in 2011 by this enterprise and its subsidiaries combined:

<i>Goods Exported</i>		<i>Goods Imported</i>	
Nil	<input type="checkbox"/>	Nil	<input type="checkbox"/>
\$1 to less than \$10,000	<input type="checkbox"/>	\$1 to less than \$10,000	<input type="checkbox"/>
\$10,000 to less than \$100,000	<input type="checkbox"/>	\$10,000 to less than \$100,000	<input type="checkbox"/>
\$100,000 to less than \$1 million	<input type="checkbox"/>	\$100,000 to less than \$ 1 million	<input type="checkbox"/>
\$1 million and more	<input type="checkbox"/>	\$1 million and more	<input type="checkbox"/>

- 6B. Please mark the categories for which the values of goods exported or imported exceeded \$100,000.

	<i>Exports</i>	<i>Imports</i>
Food, live animals, beverages, and tobacco	<input type="checkbox"/>	<input type="checkbox"/>
Minerals, fuels, and lubricants	<input type="checkbox"/>	<input type="checkbox"/>
Chemical, plastic, medical, pharmaceutical, and rubber products, and fertilizers	<input type="checkbox"/>	<input type="checkbox"/>
Wood, paper, and products thereof	<input type="checkbox"/>	<input type="checkbox"/>
Textiles, clothing, and footwear	<input type="checkbox"/>	<input type="checkbox"/>
Machinery, office and communication equipment, and other electrical goods, including spares	<input type="checkbox"/>	<input type="checkbox"/>
Vehicles and transport equipment, including spares	<input type="checkbox"/>	<input type="checkbox"/>
Metal and metal products not in other categories	<input type="checkbox"/>	<input type="checkbox"/>
All other goods	<input type="checkbox"/>	<input type="checkbox"/>

7. Did this enterprise engage in *merchandising*—that is, buy and resell goods, including gold, abroad without the goods entering Newland? Yes No

Part E. International Trade in Services in 2011

8. Did this enterprise or its subsidiaries sell services to or purchase services from nonresidents during 2011?
(Include transactions with related nonresident enterprises.)

Yes No

If the answer is *no*, go to question 9.

8A. Please record the approximate value of:

<i>Services Exported</i>		<i>Services Imported</i>	
Nil	<input type="checkbox"/>	Nil	<input type="checkbox"/>
\$1 to less than \$10,000	<input type="checkbox"/>	\$1 to less than \$10,000	<input type="checkbox"/>
\$10,000 to less than \$100,000	<input type="checkbox"/>	\$10,000 to less than \$100,000	<input type="checkbox"/>
\$100,000 to less than \$1 million	<input type="checkbox"/>	\$100,000 to less than \$ 1 million	<input type="checkbox"/>
\$1 million and more	<input type="checkbox"/>	\$1 million and more	<input type="checkbox"/>

8B. Please mark the categories for which the values of services exported or imported exceeded \$100,000.

	<i>Exports</i>	<i>Imports</i>
Manufacturing (processing) services	<input type="checkbox"/>	<input type="checkbox"/>
Maintenance and repair services	<input type="checkbox"/>	<input type="checkbox"/>
Transportation services for passenger and freight <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Operational leasing or rental without operators	<input type="checkbox"/>	<input type="checkbox"/>
Other transport services	<input type="checkbox"/>	<input type="checkbox"/>
Construction	<input type="checkbox"/>	<input type="checkbox"/>
Insurance	<input type="checkbox"/>	<input type="checkbox"/>
Pension services	<input type="checkbox"/>	<input type="checkbox"/>
Financial services	<input type="checkbox"/>	<input type="checkbox"/>
Charges for the use of intellectual property <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Telecommunications, computer, and information services <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade-related services	<input type="checkbox"/>	<input type="checkbox"/>
Other business services (specify)	<input type="checkbox"/>	<input type="checkbox"/>
.....		
Personal, recreational, and cultural services	<input type="checkbox"/>	<input type="checkbox"/>

If you are uncertain about the appropriate category, please describe the service.

Part F. Employment of Foreign Workers in 2011

9. Did this enterprise or its subsidiaries employ any foreign workers in 2011?
(Foreign workers include persons residing in Newland for less than 12 months.) Yes No

If the answer is *no*, go to question 10.

- 9A. What were the approximate wages and salaries (in thousands of dollars) paid to all such persons combined in 2011? \$ _____,000

Part G. External Financial Assets and Liabilities as of December 31, 2011

10. Please record the approximate market value of shares and other liabilities of this enterprise (and its subsidiaries) held by nonresidents as of December 31, 2011 and financial claims of this enterprise (and its subsidiaries) on nonresidents at that date.

- 10A. Please record the approximate value of:

<i>Shares held by, and Other Liabilities to, Nonresidents</i>	<input type="checkbox"/>	<i>Claims on Nonresidents</i>	<input type="checkbox"/>
Nil	<input type="checkbox"/>	Nil	<input type="checkbox"/>
\$1 to less than \$10,000	<input type="checkbox"/>	\$1 to less than \$10,000	<input type="checkbox"/>
\$10,000 to less than \$100,000	<input type="checkbox"/>	\$10,000 to less than \$100,000	<input type="checkbox"/>
\$100,000 to less than \$1 million	<input type="checkbox"/>	\$100,000 to less than \$ 1 million	<input type="checkbox"/>
\$1 million and more	<input type="checkbox"/>	\$1 million and more	<input type="checkbox"/>

- 10B. Please mark the categories for which the values of liabilities or assets exceeded \$100,000.

	<i>Liabilities</i>	<i>Assets</i>
Stocks (shares)	<input type="checkbox"/>	<input type="checkbox"/>
Investment fund shares or units	<input type="checkbox"/>	<input type="checkbox"/>
Other equity	<input type="checkbox"/>	<input type="checkbox"/>
Other (debt) securities	<input type="checkbox"/>	<input type="checkbox"/>
Loans	<input type="checkbox"/>	<input type="checkbox"/>
Accounts receivable and payable	<input type="checkbox"/>	<input type="checkbox"/>
Deposits	<input type="checkbox"/>	<input type="checkbox"/>
Notes and coin	<input type="checkbox"/>	<input type="checkbox"/>

Insurance and pension technical reserves and entitlements

Financial Derivatives

Other (specify)

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Part H. Other Interactions with Non-Residents in 2011

11. Did this enterprise or its subsidiaries have any other interactions or positions with non-residents in 2011?

Ownership of land abroad Yes No

Payment of rent for offices abroad Yes No

Payment for licences to explore or exploit natural resources abroad Yes No

If you are uncertain about the appropriate category, please describe the interaction.

If the answer is *yes*, please give details.

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Part H. Details of Subsidiary Companies in Newland

12. Please complete the following table if the answer to question 2 is *yes*.

	Name of Subsidiary	Name of Parent Company	% Owned by Parent Company	Main Activity of Company	Office Use Only
A					
B					
C					
D					

Part I. Final Questions

13. Please verify that the form has been completed correctly; indicate that you have done so by marking the following boxes.

- The name and address shown on page one is correct or has been corrected.
- The name and telephone number of the person who should be contacted regarding this form are entered on page one. The person who completed this form has signed his or her name on this page.
- The answer to question 1 is *yes*, and the name and address of the primary parent company in the group are
.....
.....
Therefore, questions 2 through 12 have not been completed.
- The answer to question 1 is *no*, and questions 2 through 11 have been completed.

[] The answer to question 2 is *yes* and question 12 has been completed.

[] I have made a copy of this form for my records.

Name of person completing this form:

Signature: